

**CLAIMS**

What we claim is:

- 5     1.     A method of characterizing electrochemical cell components,  
comprising:  
         preparing a sample of an electrode material in contact with an electrolyte;  
         obtaining self-heating data, power-temperature data or power-time data  
for the sample using a calorimetry technique; and  
10        developing a power function for the sample using the self-heating,  
power-temperature or power-time data, the power function representative of  
thermal power per unit mass of the sample as a function of temperature and  
amount of reactant remaining from a reaction of the electrode material and  
electrolyte of the sample.
- 15        2.     The method of claim 1, wherein preparing the sample comprises  
preparing the sample using less than about 100 grams of the electrode material.
- 20        3.     The method of claim 1, wherein preparing the sample comprises  
preparing the sample using between about 1 and about 10 grams of the electrode  
material.
- 25        4.     The method of claim 1, wherein preparing the sample comprises  
preparing the sample using between about 1 milligram and about 1 gram of the  
electrode material.
5.     The method of claim 1, wherein the electrode material comprises cathode  
material.
- 30        6.     The method of claim 1, wherein the electrode material comprises anode  
material.

7. The method of claim 1, wherein the electrode material comprises lithium.

8. The method of claim 1, wherein obtaining the self-heating data comprises  
5 obtaining temperature versus time data of the sample during reaction under substantially adiabatic conditions.

9. The method of claim 1, wherein using the calorimetry technique comprises using an accelerating rate calorimetry technique.

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10. The method of claim 1, wherein using the calorimetry technique comprises using a differential scanning calorimetry technique.

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11. A method of characterizing electrochemical cell components,  
comprising:

preparing a first sample of a cathode material in contact with an electrolyte;

preparing a second sample of an anode material in contact with the electrolyte;

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obtaining first and second self-heating, power-temperature or power-time data for the first and second samples, respectively, using a calorimetry technique; and

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developing a first power function for the first sample and a second power function for the second sample using the first and second self-heating, power-temperature or power-time data, respectively, the first power function characterizing a reaction between the cathode material and the electrolyte in terms of thermal power per unit mass of the cathode sample material, and the second power function characterizing a reaction between the anode material and the electrolyte in terms of thermal power per unit mass of the anode sample  
30 material.

12. The method of claim 11, wherein preparing the first sample comprises preparing the first sample using less than about 100 grams of the cathode material and preparing the second sample comprises preparing the second sample using less than about 100 grams of the anode material.

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13. The method of claim 11, wherein preparing the first sample comprises preparing the first sample using between about 1 and about 10 grams of the cathode material and preparing the second sample comprises preparing the second sample using between about 1 and about 10 grams of the anode material.

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14. The method of claim 11, wherein preparing the first sample comprises preparing the first sample using between about 1 milligram and about 1 gram of the cathode material and preparing the second sample comprises preparing the second sample using between about 1 milligram and about 1 gram of the anode material.

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15. The method of claim 11, wherein the cathode and anode material each comprises lithium.

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16. The method of claim 11, wherein obtaining the first and second self-heating data comprises obtaining temperature versus time data of the first and second samples during reaction under substantially adiabatic conditions, respectively.

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17. The method of claim 11, wherein using the calorimetry technique comprises using an accelerating rate calorimetry technique.

18. The method of claim 11, wherein using the calorimetry technique comprises using a differential scanning calorimetry technique.

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19. A method of characterizing an electrochemical cell, comprising:  
defining one or more physical parameters of the electrochemical cell;  
providing a first power function characterizing a reaction between a  
cathode and an electrolyte in terms of thermal power per unit mass of cathode  
material;  
providing a second power function characterizing a reaction between an  
anode and the electrolyte in terms of thermal power per unit mass of anode  
material; and  
predicting, using the first and second power functions and the physical  
parameters of the electrochemical cell, a response of the cell to a specified  
operating condition.
20. The method of claim 19, wherein the method is implemented using a  
computer and user-interface coupled to the computer.
21. The method of claim 19, wherein:  
defining one or more physical parameters of the cell further comprises  
adjusting the physical parameters of the cell; and  
predicting the response of the cell further comprises predicting the  
response of the cell using the first and second power functions and the adjusted  
physical parameters of the cell.
22. The method of claim 19, wherein defining one or more physical  
parameters of the cell further comprises receiving user input data representative  
of physical parameters of the cell.
23. The method of claim 22, wherein receiving user input data further  
comprises:  
presenting to a user an input field corresponding to each physical  
parameter of the cell; and  
receiving input data from the user in each of the input fields.

24. The method of claim 19, wherein defining one or more physical parameters of the cell further comprises receiving physical parameters of the cell electronically.

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25. The method of claim 19, wherein defining one or more physical parameters of the cell further comprises receiving physical parameters of the cell from an external host processor.

10 26. The method of claim 19, wherein defining one or more physical parameters of the cell further comprises defining one or more physical parameters for each of an anode and a cathode of the cell.

27. The method of claim 26, further wherein:  
15 defining physical parameters for each of the anode and cathode of the cell further comprises adjusting the physical parameters of one or both of the anode and cathode; and  
predicting the response of the cell further comprises predicting the response of the cell using the first and second power functions and the adjusted  
20 physical parameters of one or both of the anode and cathode.

28. The method of claim 19, wherein the specified operating condition comprises a condition of constant or varying ambient temperature.

25 29. The method of claim 19, wherein the specified operating condition comprises a condition of a constant or varying current applied to the cell.

30. The method of claim 19, wherein the specified operating condition comprises a condition of an external short-circuit connected to the cell.

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31. The method of claim 19, wherein the specified operating condition comprises a condition of a short-circuit internal to the cell.

32. A system for characterizing an electrochemical cell, comprising:

5 a processor;

a user-interface, coupled to the processor, comprising an input device operable by a user for entering one or more physical parameters of the electrochemical cell; and

memory, coupled to the processor, that stores a cathode power function characterizing a reaction between a cathode and an electrolyte in terms of thermal power per unit mass of cathode material and further stores an anode power function characterizing a reaction between an anode and the electrolyte in terms of thermal power per unit mass of anode material, the processor computing a response of an electrochemical cell to a specified operating condition using the cathode and anode power functions and the physical parameters of the electrochemical cell.

33. The system of claim 32, wherein:

the input device is operable by the user to enter physical parameters of an anode and a cathode of the cell; and

the processor computes the response of the electrochemical cell to the specified operating condition using the cathode and anode power functions and the entered physical parameters of the anode and cathode of the electrochemical cell.

34. The system of claim 32, wherein:

the input device is operable by the user to adjust physical parameters of the cell; and

the processor computes the response of the electrochemical cell to the specified operating condition using the cathode and anode power functions and the adjusted physical parameters of the electrochemical cell.

35. The system of claim 32, wherein:

the input device is operable by the user to adjust physical parameters of an anode and a cathode of the cell; and

5 the processor computes the response of the electrochemical cell to the specified operating condition using the cathode and anode power functions and the adjusted physical parameters of the anode and cathode of the electrochemical cell.

10 36. The system of claim 32, wherein the user-interface comprises a display, and the input device is operable by the user for entering physical parameters of the electrochemical cell into input fields presented on the display.

15 37. The system of claim 32, wherein the user-interface comprises a display, and the input device is operable by the user for entering physical parameters of an anode and a cathode of the electrochemical cell into input fields presented on the display.

20 38. The system of claim 32, wherein the memory that stores the anode and cathode power functions is partially or completely situated remotely from the processor.

25 39. The system of claim 32, further comprising a calorimeter system coupled to the processor.

40. The system of claim 32, wherein the calorimeter system comprises an accelerating rate calorimeter or a differential scanning calorimeter.

41. A method of characterizing electrochemical cell components, comprising:

defining one or more physical parameters of an electrochemical cell;

characterizing a reaction between a cathode and an electrolyte in terms of

5 thermal power per unit mass of cathode material by defining a first power function;

characterizing a reaction between an anode and the electrolyte in terms of thermal power per unit mass of anode material by defining a second power function; and

10 predicting, using the first and second power functions and the physical parameters of the electrochemical cell, a response of the cell to a specified operating condition.

42. The method of claim 41, wherein characterizing the respective cathode/electrolyte and anode/electrolyte reactions comprises modeling the respective reactions assuming an autocatalytic reaction mechanism.

43. The method of claim 41, wherein the first power function,  $P_c$ , associated with the cathode/electrolyte reaction is given by the following equations:

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$$\frac{du}{dt} = k(1-u)(\beta + u^{0.5})$$

$$\frac{dT}{dt} = \frac{h}{C'_{tot}} * \frac{du}{dt}$$

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$$P_c = H du/dt$$

where,  $u$  represents a dimensionless fractional degree of conversion,  $k$  represents a reaction rate constant defined by  $k = \gamma \exp(-E_a/k_b T)$ ,  $\gamma$  represents a frequency factor expressed in terms of minutes<sup>-1</sup>,  $E_a$  represents activation energy,  $k_b$



represents Boltzmann's constant, T represents a temperature of the cell,  $\beta$  represents a dimensionless parameter of autocatalysis, h represents total heat which can be evolved by a sample of cathode material during reaction expressed in terms of Joules,  $C'_{\text{tot}}$  represents a total heat capacity of the reactant and a sample calorimeter bomb expressed in terms of J/K, and H represent total heat generated by the cathode/electrolyte reaction per gram of cathode material.

44. The method of claim 41, wherein the second power function,  $P_a$ , associated with the anode/electrolyte reaction is given by:

$$P_a = H_2 \left| \frac{dx_2}{dt} \right| + H_1 \left| \frac{dx_1}{dt} \right|$$

where,

$$\frac{dx_2}{dt} = -\gamma_2 \exp^{-E_2/k_b T} x_2^{0.5}$$

$$\frac{dx_1}{dt} = -\gamma_1 \exp^{-E_1/k_b T} x_1 \exp^{-((x_{30}+x_{20})+f(x_{10}-x_1))/(x_{30}+x_{20})}$$

and

$$\frac{dx_3}{dt} = -\frac{dx_1}{dt} - \frac{dx_2}{dt}$$

further where,  $x_1$  represents an amount of type 1 lithium measured as x in  $\text{Li}_x\text{C}_6$ ,  $x_2$  is an amount of type 2 lithium, measured per six carbons, and  $x_3$  is an amount of type 3 lithium, measured per six carbons,  $x_{10}$ ,  $x_{20}$ , and  $x_{30}$  are initial amounts of lithium after electrochemical discharge and before heating,  $E_1$  and  $E_2$  are activation energies, and  $\gamma_1$  and  $\gamma_2$  are frequency factors, f is a constant of

proportionality that governs how fast the layer of reaction products on the surface of the carbon grows as type 1 lithium is converted to type 3 lithium, and  $H_1$  and  $H_2$  are the heat per gram of carbon due to the changes  $\Delta x_1 = -1$  and  $\Delta x_2 = -1$ , respectively.

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45. The method of claim 41, wherein:

characterizing the cathode/electrolyte reaction comprises characterizing the cathode/electrolyte reaction using less than about 100 grams of cathode material; and

10 characterizing the anode/electrolyte reaction comprises characterizing the anode/electrolyte reaction using less than about 100 grams of anode material.

46. The method of claim 41, wherein:

15 characterizing the cathode/electrolyte reaction comprises characterizing the cathode/electrolyte reaction using between about 1 and about 10 grams of cathode material; and

characterizing the anode/electrolyte reaction comprises characterizing the anode/electrolyte reaction using between about 1 and about 10 grams of anode material.

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47. The method of claim 41, wherein:

characterizing the cathode/electrolyte reaction comprises characterizing the cathode/electrolyte reaction using between about 1 milligram and about 1 gram of cathode material; and

25 characterizing the anode/electrolyte reaction comprises characterizing the anode/electrolyte reaction using between about 1 milligram and about 1 gram of anode material.

30 48. The method of claim 41, wherein the cathode and anode material each comprises lithium.

49. The method of claim 41, wherein characterizing the first and second power functions comprises obtaining temperature versus time data of each of the cathode/electrolyte and anode/electrolyte reactions.

5 50. The method of claim 41, wherein characterizing the first and second power functions comprises using a calorimetry technique.

51. The method of claim 50, wherein using the calorimetry technique comprises using an accelerating rate calorimetry technique or a differential  
10 scanning calorimetry technique.

52. The method of claim 41, wherein the specified operating condition comprises a condition of constant or varying ambient temperature.

15 53. The method of claim 41, wherein the specified operating condition comprises a condition of a constant or varying current applied to the cell.

54. The method of claim 41, wherein the specified operating condition comprises a condition of an external short-circuit connected to the cell.  
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55. The method of claim 41, wherein the specified operating condition comprises a condition of a short-circuit internal to the cell.

56. A computer readable medium embodying program instructions for  
25 characterizing electrochemical cell components, comprising:  
characterizing a reaction between a cathode and an electrolyte in terms of thermal power per unit mass of cathode material by defining a first power function;  
characterizing a reaction between an anode and the electrolyte in terms of  
30 thermal power per unit mass of anode material by defining a second power function;

defining one or more physical parameters of the electrochemical cell; and predicting, using the first and second power functions and the physical parameters of the electrochemical cell, a response of the cell to a specified operating condition.

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57. The medium of claim 56, wherein characterizing the respective cathode/electrolyte and anode/electrolyte reactions comprises modeling the respective reactions assuming an autocatalytic reaction mechanism.

10 58. The method of claim 56, wherein the first power function,  $P_c$ , associated with the cathode/electrolyte reaction is given by the following equations:

$$\frac{du}{dt} = k(1-u)(\beta + u^{0.5})$$

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$$\frac{dT}{dt} = \frac{h}{C'_{tot}} * \frac{du}{dt}$$

$$P_c = H du/dt$$

where,  $u$  represents a dimensionless fractional degree of conversion,  $k$  represents a reaction rate constant defined by  $k = \gamma \exp(-E_a/k_b T)$ ,  $\gamma$  represents a frequency factor expressed in terms of minutes<sup>-1</sup>,  $E_a$  represents activation energy,  $k_b$  represents Boltzmann's constant,  $T$  represents a temperature of the cell,  $\beta$  represents a dimensionless parameter of autocatalysis,  $h$  represents total heat which can be evolved by a sample of cathode material during reaction expressed in terms of Joules,  $C'_{tot}$  represents a total heat capacity of the reactant and a sample calorimeter bomb expressed in terms of J/K, and  $H$  represent total heat generated by the cathode/electrolyte reaction per gram of cathode material.

59. The medium of claim 56, wherein the second power function,  $P_a$ , associated with the anode/electrolyte reaction is given by:

$$P_a = H_2 \left| \frac{dx_2}{dt} \right| + H_1 \left| \frac{dx_1}{dt} \right|$$

5 where,

$$\frac{dx_2}{dt} = -\gamma_2 \exp^{-E_2/k_b T} x_2^{0.5}$$

$$\frac{dx_1}{dt} = -\gamma_1 \exp^{-E_1/k_b T} x_1 \exp^{-((x_{30}+x_{20})+f(x_{10}-x_1))/(x_{30}+x_{20})}$$

and

$$\frac{dx_3}{dt} = -\frac{dx_1}{dt} - \frac{dx_2}{dt}$$

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further where,  $x_1$  represents an amount of type 1 lithium measured as  $x$  in  $Li_xC_6$ ,  $x_2$  is an amount of type 2 lithium, measured per six carbons, and  $x_3$  is an amount of type 3 lithium, measured per six carbons,  $x_{10}$ ,  $x_{20}$ , and  $x_{30}$  are initial amounts of lithium after electrochemical discharge and before heating,  $E_1$  and  $E_2$  are  
 15 activation energies, and  $\gamma_1$  and  $\gamma_2$  are frequency factors,  $f$  is a constant of proportionality that governs how fast the layer of reaction products on the surface of the carbon grows as type 1 lithium is converted to type 3 lithium, and  $H_1$  and  $H_2$  are the heat per gram of carbon due to the changes  $\Delta x_1 = -1$  and  $\Delta x_2 = -1$ , respectively.

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60. The medium of claim 56, wherein:  
 defining one or more physical parameters of the cell further comprises  
 adjusting the physical parameters of the cell; and

predicting the response of the cell further comprises predicting the response of the cell using the first and second power functions and the adjusted physical parameters of the cell.

5 61. The medium of claim 56, wherein defining one or more physical parameters of the cell further comprises receiving user input data representative of physical parameters of the cell.

62. The medium of claim 61, wherein receiving user input data further  
10 comprises:

presenting to a user an input field corresponding to each physical parameter of the cell; and

receiving input data from the user in each of the input fields.

15 63. The medium of claim 56, wherein defining one or more physical parameters of the cell further comprises receiving physical parameters of the cell electronically.

64. The medium of claim 56, wherein defining one or more physical  
20 parameters of the cell further comprises defining one or more physical parameters for each of an anode and a cathode of the cell.

65. The medium of claim 64, further wherein:  
defining physical parameters for each of the anode and cathode of the  
25 cell further comprises adjusting the physical parameters of one or both of the anode and cathode; and

predicting the response of the cell further comprises predicting the response of the cell using the first and second power functions and the adjusted physical parameters of one or both of the anode and cathode.

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66. The medium of claim 56, wherein the specified operating condition comprises a condition of constant or varying ambient temperature.

67. The medium of claim 56, wherein the specified operating condition  
5 comprises a condition of a constant or varying current applied to the cell.

68. The medium of claim 56, wherein the specified operating condition comprises a condition of an external short-circuit connected to the cell.

10 69. The medium of claim 56, wherein the specified operating condition comprises a condition of a short-circuit internal to the cell.

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15 70. The medium of claim 56, wherein the medium comprises one or more magnetic data storage diskettes, direct access data storage disks, magnetic tape, alterable or non-alterable electronic read-only memory, flash memory, optical storage devices or signal-bearing media comprising digital, analog, and/or communication links and wireless transmission media or propagated signal media.